

Disclosure statement (financial adviser)



Name of financial adviser: **James Edward Rickard**
Address: **104 Spring Street, Tauranga**
Telephone number: **07 579 6259**
Email address: **jim@builtin.co.nz**

This disclosure statement was prepared on **23rd October 2018**

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered financial adviser. I am not, however, authorised to give advice in relation to securities, land investment products, future contracts or investment-linked contracts of insurance. I can give you advice about a wide range of insurance products, other than investment linked insurance contracts as defined in the Financial Advisers Act 2008. If you require advice on other areas of insurance, such as risk management, I can refer you to an adviser who specialises in and has the required competence skills in that area.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact **The Insurance & Financial Services Ombudsman**. This service will cost you nothing, and will help us resolve any disagreements.

You can contact **The Insurance & Financial Services Ombudsman Scheme** at

Address: **PO Box 10-845, Wellington 6143**
Telephone number: **0800 888 202**
Email address: **<http://www.ifso.nz/contact>**

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

Declaration

I, **James Edward Rickard** declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

A handwritten signature in black ink, appearing to be "J. Rickard", written over a faint circular stamp or watermark.

FSP115024

Disclosure statement (financial adviser)



Name of financial adviser: **Keryl Lee Rickard**
Address: **104 Spring Street, Tauranga**
Telephone number: **07 579 6259**
Email address: **keryl@bultin.co.nz**

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Declaration

I, **Keryl Lee Rickard** declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

A handwritten signature in black ink, appearing to be "Keryl Lee Rickard".

Signed:

FSP115027

Disclosure statement (financial adviser)



Name of financial adviser: **Philippus Johannes Theron**
Address: **104 Spring Street, Tauranga**
Telephone number: **07 579 6259**
Email address: **phil@bultin.co.nz**

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Declaration

I, **Philippus Johannes Theron** declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

A handwritten signature in blue ink, consisting of a vertical line on the left and a horizontal line extending to the right, with a small loop at the end.

Signed:

FSP502386

Disclosure statement (financial adviser)



Name of financial adviser: **Benjamin John Cranswick Rickard**
Address: **104 Spring Street, Tauranga**
Telephone number: **07 579 6259**
Email address: **ben@bultin.co.nz**

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Declaration

I, **Benjamin John Cranswick Rickard** declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

A handwritten signature in blue ink, appearing to be "Benjamin Rickard", is written over a faint, illegible stamp.

Signed:

FSP200925